

Croydon Council

REPORT TO:	Pension Board 13 October 2022
SUBJECT:	Reporting Breaches of the Law
LEAD OFFICER:	Matthew Hallett, Acting Head of Pensions and Treasury

1 RECOMMENDATION

- 1.1 The Board are asked to note and comment on revisions to the Fund's "Reporting Breaches of the Law Policy" and to note the Fund's Breaches of the Law log.

2 EXECUTIVE SUMMARY

- 2.1 This report asks the Board to note and comment on the revised Reporting Breaches of the Law Policy to the Committee and attached appendices.

3 DETAIL

- 3.1. The current policy was agreed by the Committee on 15 September 2020. The policy is required to be reviewed at least every three years. Following recommendations from the Governance Review around further areas for development, the Policy has now been reviewed.
- 3.2 The revised Policy is attached as Appendix A. Appendices B and C detail the reporting process as follows:
- Appendix B Breaches Reporting Form – to be completed by anyone wishing to report a breach.
 - Appendix C Breaches Flowchart – the process of investigation.
- 3.3 The Board are asked to note and comment on the Policy and Appendices.

4 CONSULTATION

- 4.1 Officers have consulted the Fund Governance Advisors Aon on this Policy.

5 DATA PROTECTION IMPLICATIONS

5.1 WILL THE SUBJECT OF THE REPORT INVOLVE THE PROCESSING OF
'PERSONAL DATA'?

NO

Approved by: Matthew Hallett on behalf of Jane West, Corporate Director of Resources (Section 151 Officer)

CONTACT OFFICER:

Matthew Hallett, Acting Head of Pensions and Treasury

BACKGROUND DOCUMENTS:

None.

APPENDIX:

Appendix A: Reporting Breaches of the Law

Appendix B: Breaches Reporting Form

Appendix C: Breaches Flowchart

Appendix D: Breaches Risk Process

Appendix E: Reporting Breaches of the Law (revised)

Appendix F: Breaches Log